

# Random Walks on High Dimensional Expanders I

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**Input:** Undirected graph  $G$

**Output:** Uniformly random spanning tree of  $G$

Confluence of [linear algebra](#), [combinatorics](#), [probability](#), ...

Connections to [random walks](#), [effective resistance](#), [statistical physics](#), ...

## Exact sampling:

Kirchhoff (matrix tree theorem) [Kir47]

Aldous [Ald90], Broder [Bro89], Wilson [Wil96], ...,

Schild [Sch18] (nearly linear time)

## Approximate sampling:

Anari–Liu–Oveis Gharan–Vinzant [ALGV18], Cryan–Guo–Mousa [CGM19]

## Bases-exchange walk

Let  $T_0$  be an arbitrary spanning tree of  $G$

For  $t = 0, 1, 2, \dots$

Remove an edge from  $T_t$  uniformly at random to obtain  $F_t$

Among all spanning trees containing  $F_t$ , uniformly pick one as new  $T_{t+1}$

Corresponds to a random walk on an auxiliary graph

[Mihail–Vazirani conjecture \[mv89\]](#) (implies) the above walk gives an approximately uniformly random spanning tree in polynomial time

Bases-exchange walk is now considered as a random walk on a [simplicial complex](#)

## Definition (Abstract simplicial complex)

A downward closed family  $Y$  of sets over a universe

Downward closed means  $A \in Y$  and  $B \subseteq A$  imply  $B \in Y$

A family of sets is also called a hypergraph

“High dimensional” generalization of graphs to capture non-binary relations

Given a graph  $G$ ,  $Y = \{F \subseteq E(G) \mid F \text{ is acyclic}\}$  is a simplicial complex

Decompose  $Y = X(-1) \cup \dots \cup X(d)$ , where

$$X(k) = \{A \in Y \mid |A| = k + 1\} \quad (\text{faces of dimension } k)$$

It's more natural to consider  $Y(k) = X(k - 1)$  (subsets of size  $k$ )

1-dimensional simplicial complex  $\equiv$  graph

We will consider only  $d$ -dimensional simplicial complex that is **pure**,  
i.e. every face of  $Y$  is a subset of some face of the dimension  $d$

## Spectral gap and mixing time

Let  $P$  denote the transition matrix of the random walk on a graph, and  $\pi$  its stationary distribution (always exists)

**Mixing time**  $t_{\text{mix}}$  = minimum time  $t$  such that, for any initial state  $x$ ,

$$\|P^t(x, \cdot) - \pi\|_1 \leq 1/4$$

Let  $\lambda_1 \geq \dots \geq \lambda_n$  be the eigenvalues of  $P$

Largest eigenvalue  $\lambda_1 = 1$  always, with left eigenvector  $\pi$

Let  $\beta = 1 - \max\{|\lambda_2|, |\lambda_n|\}$  be the (two-sided) **spectral gap**

It is well known that

$$t_{\text{mix}} \leq \frac{1}{\beta} \log \left( \frac{4}{\pi_*} \right)$$

where  $\pi_* = \min_x \pi(x)$

Let  $Y(k) = \{F \subseteq E(G) \mid |F| = k, F \text{ is acyclic}\}$

Transition  $P_{\text{BE}}$  of bases-exchange walk consists of two sub-transitions:

1. **Down**  $D_{n-1}$ : From  $T_t \in Y(n-1)$  to  $F_t \in Y(n-2)$  by dropping a random element (edge)
2. **Up**  $U_{n-1}$ : From  $F_t$  to  $T_{t+1} \in Y(n-1)$  by choosing  $T_{t+1} \supset F_t$

### Fact

*$AB$  and  $BA$  have the same nonzero spectrum for any matrices  $A$  and  $B$*

Bounding spectral gap of  $P_{\text{BE}} = D_{n-1}U_{n-1}$  is the same as bounding spectral gap of  $U_{n-1}D_{n-1}$

## Up and down transitions for general $k$

Weight  $w(F)$  of any face/forest will be defined later

Down transition  $D_k$  from  $F \in Y(k)$  to  $Y(k-1)$ : drop a uniformly random element from  $F$

Up transition  $U_k$  from  $F' \in Y(k-1)$  to  $F \in Y(k)$ : go to a random neighbor  $F \supset F'$  with probability proportional to  $w(F)$

**Theorem (Kaufman–Oppenheim [KO18])**

$D_k U_k$  has (one-sided) spectral gap at least  $1/k$  for  $1 \leq k \leq n-1$

This theorem implies fast mixing of (lazy version of) bases-exchange walk [ALGV18]

We will see key steps of its proof later

## Definition (Matroid)

A nonempty family  $M$  of sets over a universe such that  $M$  is downward closed and “exchangable”:

If  $A, B \in M$  and  $|A| > |B|$ , then for some  $e \in A \setminus B$ , we have  $B \cup \{e\} \in M$

An inclusion-maximal set in a matroid  $M$  is a **basis**

All bases have the same size, called the **rank** of  $M$

A matroid is also a pure simplicial complex

Kaufman–Oppenheim lowerbound of  $1/k$  also holds for any matroid of rank  $k$

Hence bases-exchange walk for that any matroid mixes rapidly

### Conjecture (Mason [Mas72])

For any matroid with  $I_k$  sets of size  $k$ ,

$$I_k^2 \geq I_{k-1} I_{k+1}$$

First proved by Adiprasito, Huh, Katz [AHK15]

A stronger form later proved by Huh, Schröter, Wang [HSW18]

An even stronger form proved independently by Anari, Liu, Oveis Gharan, Vinzant [ALGV18'] and Brändén and Huh [BH18]

These works use some related concepts that we will also see

Interesting read: [A Path Less Taken to the Peak of the Math World](#), Quanta Magazine